



Receipt

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 99,395-A)

RECEIVED

SEP 18 2001

TECH CENTER 1600/2900

In re Application of: )  
Lawn et al. ) Group Art Unit: 1645  
Serial No.: 09/595,526 ) Examiner: Not Assigned  
Filed: June 16, 2000 )  
For: **Compositions and Methods for** )  
**Increasing Cholesterol Efflux and** )  
**Raising HDL Using ATP Binding** )  
**Cassette Transporter Protein** )  
**ABC1** )

TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
  - a) Request for Corrected Filing Receipt
  - b) Copy of Filing Receipt with changes noted thereon
  - c) Postcard.
2. With respect to fees:
  - a) There are no fees due.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Asst. Commissioner for Patents, Washington, D.C. 20231 on the 8<sup>th</sup> day of May, 2001.

Respectfully submitted

Anita J. Terpstra  
Registration No. 47132



PATENT

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(Case No. 99,395-A)

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REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above-referenced application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is incorrectly entered.

Error: Title

Compositions and Methods for Increasing Cholesterol Efflux and Raising HDL ATP Binding  
Cassette Transporter Protein ABC1

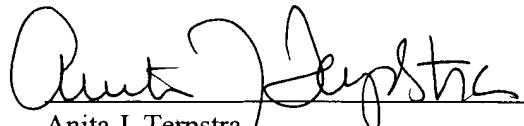
Correct: Title

**Compositions and Methods for Increasing Cholesterol Efflux and Raising HDL Using  
ATP Binding Cassette Transporter Protein ABC1.**

3. The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

Date: May 8, 2001

  
Anita J. Terpstra  
Registration No. 47,132

**FILE COPY**

## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 9969

<b>SERIAL NUMBER</b> 09/595,526	<b>FILING DATE</b> 06/16/2000 <b>RULE</b>	<b>CLASS</b> XXX	<b>GROUP ART UNIT</b> 2899	<b>ATTORNEY DOCKET NO.</b> 99.395-A
<b>APPLICANTS</b> Richard M. Lawn, San Francisco, CA; David Wade, Palo Alto, CA; John F. Oram, Seattle, WA; Michael Garvin, San Francisco, CA;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/140,264 06/18/1999 AND CLAIMS BENEFIT OF 60/153,872 09/14/1999 AND CLAIMS BENEFIT OF 60/166,573 11/19/1999				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY **</b> ** 08/21/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged	Examiner's Signature _____ Initials _____	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 13	<b>TOTAL CLAIMS</b> 80
<b>INDEPENDENT CLAIMS</b> 16				
<b>ADDRESS</b> McDonnell Boehnen Hulbert & Berghoff 32nd Floor 300 South Wacker Drive Chicago, IL 60606				
<b>TITLE</b> Compositions and methods for increasing cholesterol efflux and raising HDL using ATP binding cassette transporter protein ABC1				
<b>FILING FEE RECEIVED</b> 1640	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/595,526	06/16/2000	1645	1480	99.395-A	13	80	16

McDonnell Boehnen Hulbert & Berghoff  
32nd Floor  
300 South Wacker Drive  
Chicago, IL 60606

## FILING RECEIPT



\*OC00000005518779\*

Date Mailed: 10/31/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Richard M. Lawn, San Francisco, CA ;  
David Wade, Palo Alto, CA ;  
John F. Oram, Seattle, WA ;  
Michael Garvin, San Francisco, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/140,264 06/18/1999  
WHICH CLAIMS BENEFIT OF 60/153,872 09/14/1999  
WHICH CLAIMS BENEFIT OF 60/166,573 11/19/1999

## Foreign Applications

If Required, Foreign Filing License Granted 08/21/2000

\*\* SMALL ENTITY \*\*

## Title

~~Compositions and methods for increasing cholesterol efflux and raising hdl-  
cassette transporter protein abc1~~Preliminary Class *using ATP Binding Cassette Transporter Protein ABC1*  
435

DOCKETED

NOV 02 2000

DUE DATE: *Foreign Deadline - 6-16-01*  
BY: *MAAB*